



Third Party Compliance Solutions

IMPROVE KNOWLEDGE FLOW TO DISTRIBUTORS, AGENTS AND VENDORS



In the past few years, as countries have enacted more stringent anti-corruption laws and focused on “third party compliance,” many Life Science companies have incorporated web technologies to manage educational activities to distributors, contractors and agents.

However, compliance teams still struggle to deliver ongoing activities to third parties. In many cases, compliance teams are unable to store third party “risk profiles” within the enterprise HR and enterprise training systems. This prevents compliance teams from building more automated tools that collect risk profiles, deliver online training, and document completions from third parties around the world.

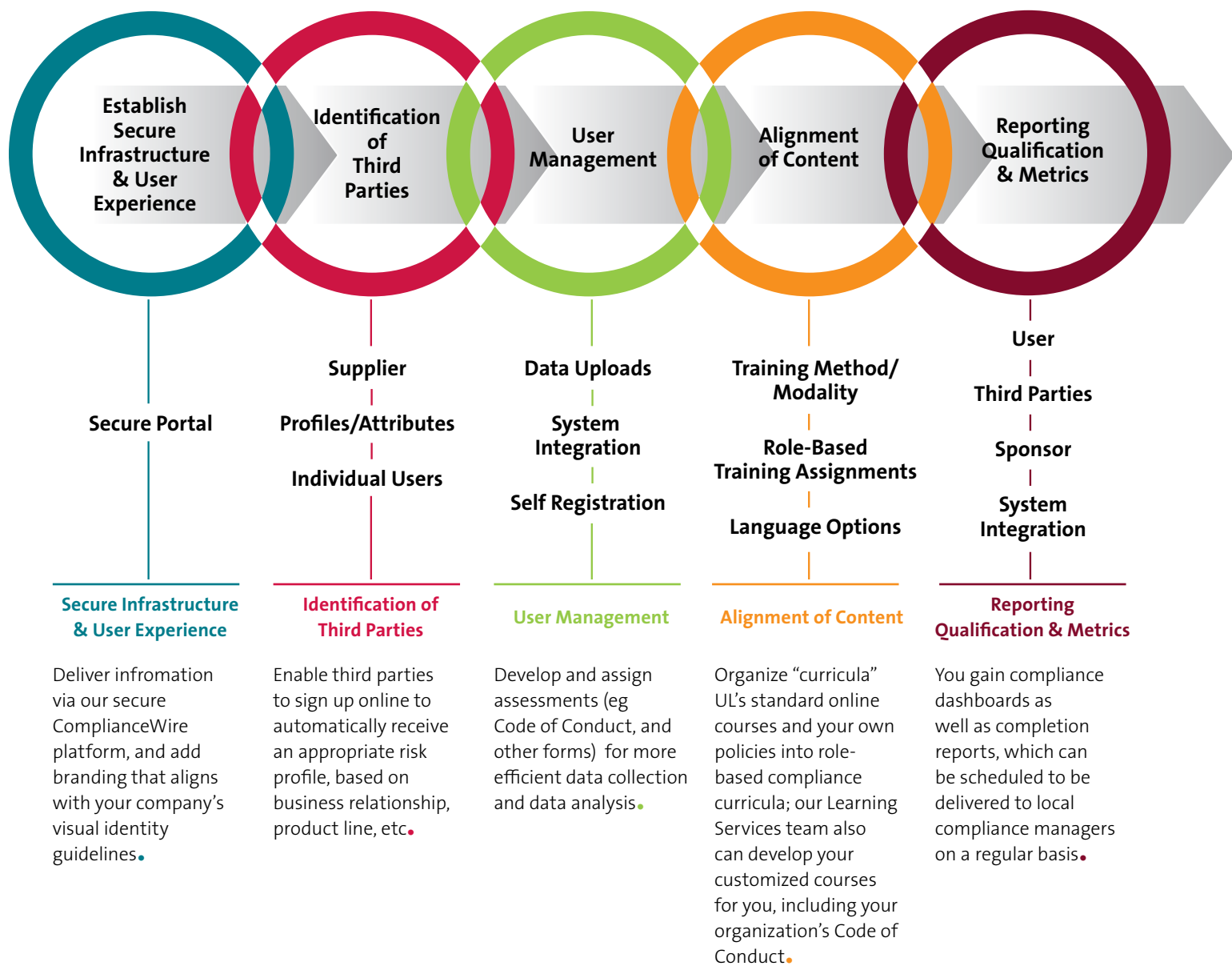
To build a more formalized, proactive compliance program that helps detect global regulatory risks, compliance teams are focusing efforts on delivering engaging, real-world training and communications that are “localized” for specific regions around the world. They are also seeking tools that capture risk assessments electronically, making data analysis more efficient and cost-effective.

UL EduNeering has helped many Life Science companies achieve these objectives through our Web-based platform, ComplianceWire®, our compliance and ethics course libraries, and our powerful assessment tools that help assure delivery and documented receipt of training and communications to targeted third parties, based on their risk profile. Our solutions can be deployed cost-effectively because we leverage a proven web platform that currently houses activities for more than 1 million learners, in up to 34 languages.

“Assessment of risk is fundamental to developing a strong compliance program, and is another factor DOJ and SEC evaluate when assessing a company’s compliance program.”

Source: A Resource Guide to U.S. Foreign Corrupt Practices Act, Department of Justice and Securities Exchange Commission, November 2012

UL EduNeering’s turnkey Third Party Solution, EduNetwork, follows a proven process for delivering training and communications across a secure web platform, based on our experience with leading Life Science companies. This process is displayed here, with an explanation of each step below.





Additional Benefits Include:

Send Communications & Notifications

Send critical communications and notifications to capture receipt as well as feedback from third parties.

Capture Electronic Signatures

With each activity, ComplianceWire captures the individual's electronic signature, which is unimpeachable and secure, while also simplifying recordkeeping and compliance status reporting.

Receive Full Audit Trails

The system generates an audit trail of all changes made to training items and user accounts, namely the field that was changed, the old value, the new value, the user who made the change and the date/time of the change.

Leverage Our Compliance Experts

You can rely on our team of compliance experts to assess your current compliance education program and make recommendations based on our regulatory expectations and industry best practices.

Leverage Our System Administrators

Our experienced administrators can maintain users, content and reports to reduce your administrative effort.

What's more, our system feeds can receive third party information from procurement or CRM systems, thus reducing manual entries and ensuring timely and accurate compliance status reports.

Our Standard Compliance Courses are self-paced and written by renowned compliance experts.

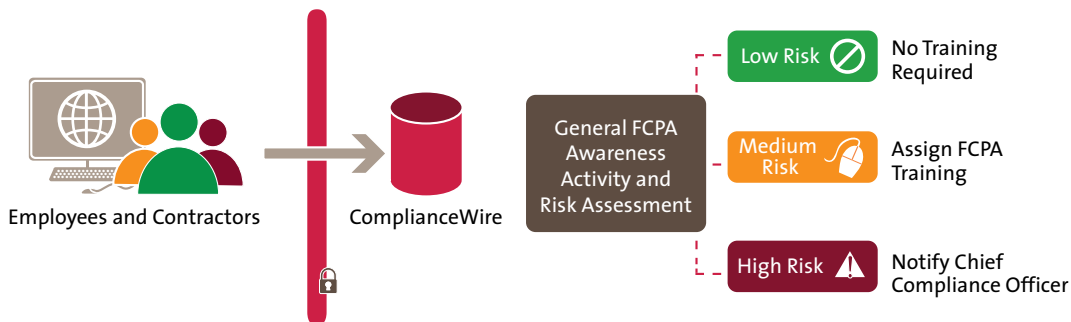
- Basics of PhRMA Code
- Basics of the AdvaMed Code (Approved by AdvaMed)
- Code of Conduct (customized for each client)
- Physician Payment Sunshine Act
- Data Privacy Guidelines
- Conflicts of Interest
- HIPAA and Privacy Guidelines for Sales Representatives
- Operating Room Conduct
- Interactions with Healthcare Professionals – Field
- Introduction to Pharmaceutical Compliance
- Third Party Compliance



To learn more about our Third Party Compliance Portal Services, contact Pat Thunell at pat.thunell@ul.com

Online Risk Assessments and Data Analysis

Our Third Party Solution not only ensures that your policy training is fully disclosed and documented, but that you have established risk mitigation steps based on third party attributes. For example, to help your compliance team the amount of training a third party individual may need, you can add a “resulting action” rule to an online risk assessment. When the third party answers a particular question such as “I do interact with HCPs,” that individual automatically is placed into a “high-risk” user group that receives additional training on the topic.



About UL EduNeering

UL EduNeering is a business line within UL Life & Health’s Business Unit. UL is a global independent safety science company offering expertise across five key strategic businesses: Life & Health, Product Safety, Environment, Verification Services and Enterprise Services.

UL EduNeering develops technology-driven solutions to help organizations mitigate risks, improve business performance and establish qualification and training programs through a proprietary, cloud-based platform, ComplianceWire®.

For more than 30 years, UL has served corporate and government customers in the Life Science, Health Care, Energy and Industrial sectors. Our global quality and compliance management approach integrates ComplianceWire, training content and advisory services, enabling clients to align learning strategies with their quality and compliance objectives.

Since 1999, under a unique partnership with the FDA’s Office of Regulatory Affairs (ORA), UL has provided the online training, documentation tracking and 21 CFR Part 11-validated platform for ORA-U, the FDA’s virtual university. Additionally, UL maintains exclusive partnerships with leading regulatory and industry trade organizations, including AdvaMed, the Drug Information Association, the Personal Care Products Council and the Duke Clinical Research Institute.