



Quality & Compliance Essentials

COURSE LIST (AS OF 02/12/16)

Courses in the Quality & Compliance Essentials represent eLearning courses that meet SCORM compliance and can be taken from a desktop, laptop, or a mobile device (e.g., iPad, iPhone).

In this course list, courses are categorized by course set name, in alphabetical order.

The Quality & Compliance Essentials courses include multi-touch features, allowing the learner to simply tap, swipe, pinch or zoom in on objects on the screen. In addition, learners can employ automatic screen rotation to display the course in either portrait or landscape mode.

This brochure lists all the courses available in the Quality & Compliance Essentials sets, and also indicates if languages are available other than English. For courses available in five primary languages (French (FR), German (GR), Spanish (SP), Simplified Chinese (CH), and Japanese (JP)), then "All 5" is noted.

At a client's request, any course can be translated into one of 34 specific languages, which are listed on Page 5.

This course list is current as of February 12, 2016, and is being updated on a quarterly basis.





| Set Name | Course Title | Course Code | Languages |
|---------------------------------------|---|-------------|---------------------|
| Corporate Compliance - Medical Device | Basics of the AdvaMed Code | MDSM01 | All 5 |
| | Eucomed Guidelines on Interactions with Healthcare Professionals | MDSM04 | FR, GR, SP |
| | HIPAA and Privacy Guidelines for Medical Device Sales Representatives | PRIVACY01 | English |
| | Introduction to Medical Device Health Care Compliance | MDSM05 | English |
| | Massachusetts Pharmaceutical and Medical Device Manufacturer Conduct Regulation (MA Regulation) | MDSM03 | English |
| | National Patient Safety Goals | MDSM07 | English |
| | Operating Room Conduct | PHA68 | English |
| | Physician Payment Sunshine Act | PHSM11 | English |
| | Reporting Adverse Events for Medical Devices | MDSM02 | English |
| Corporate Compliance - Pharmaceutical | Basics of PhRMA Code | PHSM01 | English |
| | HIPAA and Privacy Guidelines for Pharmaceutical Sales Representatives | PRIVACY02 | English |
| | Interactions with Healthcare Professionals – Field | PHSM07 | English |
| | Interactions with Healthcare Professionals – In-House | PHSM06 | English |
| | Introduction to Pharmaceutical Compliance | PHSM09 | English |
| | Medical Education for Healthcare Professionals | PHSM03 | English |
| Corporate Compliance - All Industries | Code of Business Conduct | LAV15 | English |
| | Detecting and Preventing Fraud | ETHICS13 | All 5 |
| | Doing the Right Thing: Anti-bribery | ETHICS09 | English |
| | E-mail and Corporate Communications | ETHICS20 | English |
| | Foreign Corrupt Practices Act | ETHICS16 | All 5 + 4 languages |
| | Global Anti-Bribery | ETHICS14 | All 5 + 4 languages |
| | Handling Confidential Information | ETHICS10 | All 5 |
| | Making Ethical Decisions | ETHICS17 | English |
| | Physical and Network Security | ETHICS21 | CH, JP, SP |
| | Privacy and Data Protection | ETHICS15 | All 5 |
| | Recognizing and Avoiding Conflicts of Interest | ETHICS11 | English |
| | Safeguarding Intellectual Property | ETHICS12 | English |
| | Sarbanes-Oxley Act: An Overview | ETHICS07 | English |
| Corporate Compliance - Harassment | Discrimination and Harassment Free Workplace | ETHICS19 | English |
| | Harassment in the Workplace | LAV21 | English |
| | Preventing Sexual Harassment | ETHICS18 | English |
| | Sexual Harassment Awareness for Employees | LAV08 | English |
| | Sexual Harassment Awareness for Managers | LAV09 | English |
| Dietary Supplements GMPs | Dietary Supplements -- Packaging, Labeling, Holding, & Distribution | Dietary01 | English |
| | Dietary Supplements -- cGMP Requirements for Quality Control | Dietary05 | English |



| Set Name | Course Title | Course Code | Languages |
|-------------------|--|-------------|---------------|
| EH&S | Asbestos Awareness | EHS04 | English |
| | Bloodborne Pathogens -- General Industry | EHS08 | English |
| | Bloodborne Pathogens – Healthcare Workers | EHS09 | English |
| | Electrical Safety | EHS23 | English |
| | Emergency Preparation, Recognition, Control, Communication, and Response | OSHA61 | English |
| | Fire Extinguishers | EHS36 | English |
| | Glutaraldehyde | EHS29 | English |
| | HAZWOPER Awareness | EHS42 | English |
| | Hazardous Waste Disposal | EHS39 | English |
| | Office Safety | EHS65 | English |
| | Preventing Back Injuries | PHDV52 | English |
| | Process Gauge Radiation | EHS69 | English |
| | Process Safety Management: Process Hazard Analysis | EHS78 | English |
| | Scaffold Safety | EHS93 | English |
| | Safe Driving | PHDV41 | English |
| | Toxic Substance Control Act (TSCA) Reporting | EHS102 | English |
| Energy Operations | Emergency Response: Hazardous Spill | OSHA62 | English |
| | Incident Command System: Responsibilities | OSHA78 | English |
| | Incident Command System: Structure and Components | OSHA77 | English |
| | Safety Hazards and Equipment | OSHA90 | English |
| | Spill Prevention and Response Procedures | OSHA95 | English |
| GMP Basics | A Step-by-Step Approach to Process Validation | PHDV79 | All 5 |
| | Combination Products – cGMP Requirements | PHDV93 | All 5 |
| | FDA Training and Qualification Requirements | PHA67 | All 5 |
| | GMP Principles for Batch Records | PHA60 | All 5 |
| | GMP Principles of SOP's | PHA64 | All 5 |
| | High Purity Water Systems | PHDV82 | English |
| | Key Concepts of Process Validation | PHDV77 | All 5 |
| | Laboratory Safety | PHDV67 | SP |
| | Meeting GMP Training Requirements | PHDV76 | All 5 |
| | Orientation to GMP Compliance | PHDV73 | All 5 + Dutch |
| | Pharmaceutical and Medical Device Supplier Quality Management | PHDV85 | All 5 |
| | Principles of Sterilization | PHDV81 | GR, JP, SP |
| | Understanding the Practices and Principles of Process Controls | PHA47 | JP |
| | Validation of Analytical Laboratory Procedures | ISPE08 | All 5 |
| | Writing and Reviewing SOPs | PHA48 | All 5 |
| | Writing Validation Protocols | PHA51 | English |



| Set Name | Course Title | Course Code | Languages |
|---|---|-------------|----------------|
| GMP Facility & Equipment Compliance | Collecting Samples and Establishing Limits for Cleaning Validation | PHA54 | FR, JP, SP |
| | Environmental Control and Monitoring | PHDV87 | All 5 |
| | Essentials of an Effective Calibration Program | PHA75 | CH, JP, KO |
| | Gowning for Sterile Manufacturing | PHA63 | FR, GR, SP |
| | Maintenance and Cleaning of Drug Manufacturing Equipment | PHA44 | FR, JP, SP |
| | Understanding GMPs for Facilities and Equipment | PHDV75 | CH, JP, KO, SP |
| GMP Medical Device | An Introduction to ISO 13485 for Medical Devices | DEV48 | All 5 |
| | A Guide to ISO 13485 — The Quality Management Systems for Medical Devices | DEV50 | All 5 |
| | Good Documentation Practices for Medical Device Manufacturers | DEV56 | CH, JP, KO |
| | Failure Investigations for Medical Devices | DEV45 | CH, JP, KO |
| GMP Pharmaceutical | Batch Record Reviews | PHA53 | FR, GR, SP |
| | Change Control | PHA35 | All 5 |
| | DEA Compliance | PHA40 | All 5 |
| | Documenting Validation Activities | PHA55 | All 5 |
| | EU: Role of the Qualified Person | PHA76 | All 5 |
| | EU: Good Distribution Practices for Medicinal Products | PHDV95 | All 5 |
| | GMP Principles for Batch Records | PHA60 | All 5 |
| | GMP Principles of SOP's | PHA64 | All 5 |
| | Principles of Good Documentation | PHA74 | All 5 |
| Global Clinical (Medical Device and Pharmaceutical) | Administrative Roles of the Clinical Research Coordinator | GCP02 | English |
| | Financial Disclosure by Clinical Investigators | GCP24 | English |
| | GCP/ICH Obligations of Sponsors, Monitors, and Investigators | GCP01 | English |
| | Good Clinical Practices (GCPs) for New Product Investigations | PHA36 | English |
| | Informed Consent | GCP13 | English |
| | Obligations of Investigators in Conducting Drug and Biologic Trials | GCP27 | English |
| | Obligations of Investigators in Conducting Medical Device Trials | GCP03 | English |
| Global Regulatory Library | Introduction to CFDA and CFDA Registration (China) | PHDV97 | CH |
| | Regulatory Requirements for Medical Devices in the Republic of Korea | DEV58 | KO |
| | ICH Q7: Introduction and Quality Management | ISPE05 | English |
| | ICH Q7: Resources and Materials Management | ISPE06 | English |
| Health Plans | Introduction to Specialty Pharmacy Management | GHC09 | English |



| Set Name | Course Title | Course Code | Languages |
|----------------------|---|-------------|----------------|
| HR Compliance | Affirmative Action in the Workplace (for Employers) | LAV02 | English |
| | Diversity in the Workplace | LAV06 | SP |
| | Family Medical Leave Act | LAV08 | English |
| | Fair Labor Standards Act (FLSA) and Equal Pay Act (EPA) | LAV03 | English |
| | Substance Abuse | LAV10 | English |
| | Violence in the Workplace | LAV11 | English |
| IT Validation | Approach to Computerized Systems Validation and Compliance | ISPE02 | All 5 |
| | Computerized Systems Inspections in the Medical Device Industry | ISPE04 | All 5 |
| | Computerized Systems Inspections in the Pharmaceutical Industry | ISPE03 | All 5 |
| | EU: GMP Requirements for Computerised Systems | PHDV75 | All 5 |
| | Part 11: Electronic Records and Signatures -- Application | FDA61 | CH, JP, KO |
| | Part 11: Electronic Records and Signatures -- Changes in Enforcement Policy | FDA57 | CH, JP, KO |
| | Part 11: Electronic Records; Electronic Signatures | FDA31 | CH, JP, KO |
| | Requirements for Computerized Systems Validation and Compliance | ISPE01 | All 5 |
| | The Design and Development of Software Used in Automated Process Controls | PHDV80 | English |
| Inspection Readiness | Awareness of FDA Inspections for Pharmaceutical Manufacturers | PHA65 | CH, FR, JP, SP |
| | EU Directives and Inspection Readiness | PHDV96 | All 5 |
| | FDA 483s: Inspectional Observations | FDA30 | English |
| | Failure Investigations for Pharmaceutical Manufacturers | PHA59 | All 5 |
| | Handling an FDA Inspections | PHDV74 | All 5 |
| | Pre- and Post-Approval FDA Drug Inspections | PHA75 | All 5 |
| | Quality Systems Inspection Technique (QSIT) | DEV42 | CH, JP |
| | QSIT 1 -- Beginning the Inspection | FDA50 | CH, JP |
| | QSIT 2 -- QSIT 2 -- The Management Controls Subsystem | FDA51 | CH, JP |
| | QSIT 3 -- The Design Controls Subsystem | FDA52 | CH, JP |
| | QSIT 4 -- The Corrective and Preventive Actions Subsystem | FDA53 | CH, JP |
| | QSIT 5 -- The Production and Process Controls Subsystem | FDA54 | CH, JP |



| Set Name | Course Title | Course Code | Languages |
|--|--|-------------|-----------|
| Medical Device Quality System Regulation (QSR) | Introduction to Quality System Regulation | DEV43 | All 5 |
| | QS Regulation 1: Overview and General Provisions | QSR01 | CH,JP,KO |
| | QS Regulation 2: Quality System Requirements | QSR02 | CH,JP,KO |
| | QS Regulation 3: Design Controls | QSR03 | CH,JP,KO |
| | QS Regulation 4: Document and Purchasing Controls | QSR04 | CH,JP,KO |
| | QS Regulation 5: Identification, Traceability; Production and Process Controls | QSR05 | CH,JP,KO |
| | QS Regulation 6: Acceptance Activities; Nonconforming Product | QSR06 | CH,JP,KO |
| | QS Regulation 7: Corrective and Preventive Action | QSR07 | CH,JP,KO |
| | QS Regulation 8: Labeling and Packaging Control; Handling, Storage, Distribution, and Installation | QSR08 | CH,JP,KO |
| | QS Regulation 9: Records | QSR09 | CH,JP,KO |
| | QS Regulation 10: Servicing; Statistical Techniques | QSR10 | CH,JP,KO |
| Medical Device Reporting (MDR) | MDR Regulation 1: Overview and General Provisions | FDA63 | CH,JP,KO |
| | MDR Regulation 2: Device User Facility, Importer, and Manufacturer Reporting Requirements | FDA65 | CH,JP,KO |
| | MDR Regulation 3: Requirements for Individual Adverse Event Reports | FDA66 | CH,JP,KO |



Courses Can Be Translated into 34 Languages:

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|-----------------------|-----------------|------------|---------------------|-------------------------|------------|
| Arabic | English | Greek | Japanese | Portuguese (Portugal) | Swedish |
| Chinese (Simplified) | Finnish | Hebrew | Korean | Romanian | Thai |
| Chinese (Traditional) | Flemish | Hindi | Malaysian (Malay) | Russian | Turkish |
| Czech | French (Canada) | Hungarian | Norwegian | Slovak | Vietnamese |
| Danish | French (France) | Indonesian | Polish | Spanish (Spain) | |
| Dutch Netherlands | German | Italian | Portuguese (Brazil) | Spanish (Latin America) | |

About UL EduNeering

UL EduNeering is a business line within UL Life & Health's Business Unit. UL is a premier global independent safety science company that has championed progress for 120 years. Its more than 10,000 professionals are guided by the UL mission to promote safe working and living environments for all people.

UL EduNeering develops technology-driven solutions to help organizations mitigate risks, improve business performance and establish qualification and training programs through a proprietary, cloud-based platform, ComplianceWire®.

For more than 30 years, UL has served corporate and government customers in the Life Science, Health Care, Energy and Industrial sectors. Our global quality and compliance management approach integrates ComplianceWire, training content and advisory services, enabling clients to align learning strategies with their quality and compliance objectives.

Since 1999, under a unique partnership with the FDA's Office of Regulatory Affairs (ORA), UL has provided the online training, documentation tracking and 21 CFR Part 11-validated platform for ORA-U, the FDA's virtual university. Additionally, UL maintains partnerships with leading life science industry trade organizations and software providers, including AdvaMed, the Drug Information Association, and MasterControl Inc.

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