



# US FDA Inspections and Enforcement Curriculum



## Background

Concerns are growing about the safety of medical products used by the American public. The United States Congress solicits testimony about the US FDA's inspection and enforcement actions, spurring demands for greater vigilance by the FDA in breadth and frequency of inspections. At the center of these intersecting issues is a Life Science industry trying to understand and adhere to the US FDA's requirements.

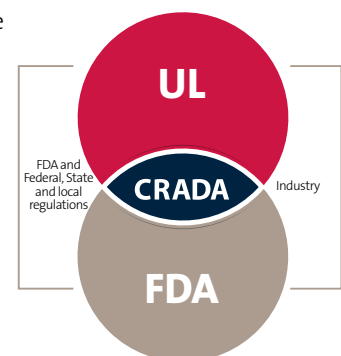
US FDA inspections are time-consuming and personnel-intensive, even for the best-run facilities. Since noncompliance can result in significant revenue losses, product non-approvals, recalls or Consent Decrees, companies should work with the US FDA before, during and after their inspections. A proactive stance on US FDA inspections and enforcement not only weighs in a company's favor, but helps create a culture of compliance that can improve business performance and operational efficiency.

UL EduNeering helps companies develop and implement compliance programs that can translate into practical benefits, both in cost savings and in protection of a company's reputation and credibility with regulators, the medical community and public, and stakeholders.

## UL's Relationship with the US FDA

In 1999, UL and the US FDA established a Cooperative Research and Development Agreement (CRADA). The agreement enables the US FDA to standardize training courses and deliver them online to thousands of regulators and investigators. Plus, US FDA-regulated companies now have access to the online content provided, reviewed and used by the US FDA.

Following the inception of CRADA, basic training time for new US FDA investigators has decreased from six to 12 months to only three months. Since 2002, US FDA students have completed more than 100,000 web-based activities. As a result of our relationship, the US FDA now has a system that enhances knowledge and reduces training time and cost by providing consistent learning anytime, anywhere via the internet or through blended learning enhanced by UL's Critical Information Control System® (CICS).



## Highlights:

- Curriculum of over 50 courses.
- Content co-developed and/or reviewed by the US FDA and used to train US FDA inspectors and investigators.
- Available via ComplianceWire®, the validated 21 CFR part 11-compliant system to manage and document knowledge.
- Tools to help drive employee comprehension of critical operating procedures, regulatory requirements and performance expectations.



### Courses Descriptions by Functional Area:

#### Basics of Inspections:

Basics of Inspections: Beginning an Inspection ..... FDA38  
 Basics of Inspections: Issues and Observations ..... FDA39  
 Courtroom Testimony ..... FDA46  
 Destruction and Reconditioning ..... FDA33  
 Enforcement of the Postmarketing Adverse Drug Experience Reporting Regulations ..... FDA64  
 Evidence and Proof ..... FDA22  
 FDA 483s: Inspectional Observations ..... FDA30  
 FDA Establishment Inspection (EI) ..... FDA32  
 FDA Establishment Inspection Report Writing ..... FDA26  
 FDA Good Guidance Practices (GGPs) ..... FDA21  
 Field Examinations ..... FDA28  
 Food and Drug Law: Criminal Acts Violations ..... FDA04  
 Food and Drug Law: FDA Jurisdictions and Prohibited Act ..... FDA01  
 Food and Drug Law: Imports and Exports ..... FDA0  
 Food and Drug Law: Judicial Actions ..... FDA03  
 Food and Drug Law: Prohibited Actions ..... FDA02  
 Handling an FDA Inspection ..... PHDV74  
 Interviewing Techniques ..... FDA27  
 MDR Regulation 1: Overview and General Provisions ..... FDA63  
 MDR Regulation 2: Device User Facility, Importer and Manufacturer Reporting Requirements ..... FDA65  
 MDR Regulation 3: Requirements for Individual Adverse Event Reports ..... FDA66  
 Photography for FDA Enforcement ..... FDA47  
 Recalls of FDA Regulated Products ..... FDA24  
 Sample Collections ..... FDA23  
 Special Investigations ..... FDA25  
 Systems-Based Drug Inspections ..... FDA55

#### Import Operations:

Import Operations 1: Background ..... FDA37  
 Import Operations 2: The Process ..... FDA42  
 Import Operations 3: Other Activities ..... FDA43

#### Quality System Regulations and Inspections:

Introduction to Quality System Regulations (QSR) ..... DEV43  
 QS Regulation 1: Overview and General Provisions ..... QSR01  
 QS Regulation 2: Quality System Requirements ..... QSR02  
 QS Regulation 3: Design Controls ..... QSR03  
 QS Regulation 4: Document and Purchasing Controls ..... QSR04  
 QS Regulation 5: Identification and Traceability; Production and Process Controls ..... QSR05  
 QS Regulation 6: Acceptance Activities; Nonconforming Product ..... QSR06  
 QS Regulation 7: Corrective and Preventive Action ..... QSR07  
 QS Regulation 8: Labeling and Package Control; Handling, Storage, Distribution and Installation ..... QSR08  
 QS Regulation 9: Records ..... QSR09  
 QS Regulation 10: Servicing; Statistical Techniques ..... QSR10  
 QS Regulation 11: Application and Inspection of Quality System Inspection Technique (QSIT) ..... QSR11  
 QSIT 1: Beginning the Inspection ..... FDA50  
 QSIT 2: The Management Controls Subsystem (FDA51  
 QSIT 3: Design Controls Subsystem ..... FDA52  
 QSIT 4: The Corrective and Preventive Actions Subsystem ..... FDA53  
 QSIT 5: The Production and Process Controls Subsystem ..... FDA54

#### Risk Management:

Risk Management 1: Key Concepts and Definitions ..... FDA29  
 Risk Management 2: Pharmaceutical cGMPs for the 21<sup>st</sup> Century ..... FDA62

#### Validation and Part 11 Compliance:

Computerized Systems Inspections in the Pharmaceutical Industry ..... ISPE03  
 Computerized Systems Inspections in the Medical Device Industry ..... ISPE04  
 Part 11: Electronic Records; Electronic Signatures ..... FDA31  
 Part 11: Electronic Records and Signatures – Application ..... FDA61



### Continual Content Updates

Regulatory agencies and related information sources are continually monitored, analyzed and incorporated into course updates or new courses.